# Conditional mutual information in infinite-dimensional quantum systems and its use

M.E.Shirokov Steklov Mathematical Institute Let  $H(\rho) = \text{Tr}\eta(\rho) - \eta(\text{Tr}\rho)$  be the extension of the von Neumann entropy to the cone  $\mathfrak{T}_+(\mathcal{H})$  s.t.  $H(\lambda\rho) = \lambda H(\rho) \ (\eta(x) = -x \log x)$ 

 $I(A:C|B)_{\omega} \doteq H(\omega_{AB}) + H(\omega_{BC}) - H(\omega_{ABC}) - H(\omega_B).$ (1)

Basic properties:

- 1)  $I(A:C|B)_{\omega} \geq 0$  for any state  $\omega_{ABC}$  and  $I(A:C|B)_{\omega} = 0$  if and only if there is a channel  $\Phi: B \rightarrow BC$  such that  $\omega_{ABC} =$  $\mathrm{Id}_A \otimes \Phi(\omega_{AB});$
- 2)  $I(A:C|B)_{\omega} \ge I(A':C'|B)_{\Phi_A \otimes \mathrm{Id}_B \otimes \Phi_C(\omega)}$  for arbitrary quantum operations  $\Phi_A: A \to A'$  and  $\Phi_C: C \to C'$ ;

- 3) monotonicity under loc. conditioning:  $I(AB:C)_{\omega} \ge I(A:C|B)_{\omega}$
- 4) additivity:  $I(AA':CC'|BB')_{\omega\otimes\omega'} = I(A:C|B)_{\omega} + I(A':C'|B')_{\omega'};$
- 5) duality:  $I(A:C|B)_{\omega} = I(A:C|D)_{\omega}$  for any pure state  $\omega_{ABCD}$ .

Operational meaning: communication cost of the quantum state redistribution protocol [I.Devetak, J.Yard, Phys. Rev. Lett. 100, 230501 (2008)] Question: How to define  $I(A : C|B)_{\omega}$  for states with infinite marginal entropies?

Motivating example: the quantum mutual information

$$I(A:B)_{\omega} \doteq H(\omega_A) + H(\omega_B) - H(\omega_{AB})$$

is well-defined for any state  $\omega_{AB}$  by the formula

$$I(A:B)_{\omega} \doteq H(\omega_{AB} \| \omega_A \otimes \omega_B)$$

Properties of the relative entropy show that  $\omega_{AB} \mapsto I(A : B)_{\omega}$ is a lower semicontinuous function on  $\mathfrak{S}(\mathcal{H}_{AB})$  taking values in  $[0, +\infty]$  and possessing all basic properties of mutual information.

### Partial answers:

$$I(A:C|B)_{\omega} = I(A:BC)_{\omega} - I(A:B)_{\omega}, \quad I(A:B)_{\omega} < +\infty$$
(2)  

$$I(A:C|B)_{\omega} = I(AB:C)_{\omega} - I(B:C)_{\omega}, \quad I(B:C)_{\omega} < +\infty$$
(3)  

$$I(A:C|B)_{\omega} = I(A:C)_{\omega} - I(A:B)_{\omega} - I(C:B)_{\omega} + I(AC:B)_{\omega},$$
(4)  

$$H(\omega_{B}) < +\infty$$
(4)  

$$I(A:C|B)_{\omega} = I(A:C)_{\omega} + I(AB:D)_{\widetilde{\omega}} + I(BC:D)_{\widetilde{\omega}}$$
(5)  

$$+ I(AC:D)_{\widetilde{\omega}} - 4H(\omega_{ABC}), \quad H(\omega_{ABC}) < +\infty,$$
(5)

where  $\tilde{\omega}_{ABCD}$  is any purification of the state  $\omega_{ABC}$ .

Question: Do formulas (2)-(5) agree with each other?

Let  $\mathfrak{S}_X$  be a subset of  $\mathfrak{S}(\mathcal{H}_{ABC})$  where formula (X) is well defined.

**Theorem 1.** There exists a unique lower semicontinuous function  $I_{e}(A:C|B)_{\omega}$  on the set  $\mathfrak{S}(\mathcal{H}_{ABC})$  such that:

- I<sub>e</sub>(A:C|B)<sub>ω</sub> coincides with I(A:C|B)<sub>ω</sub> given by (1),(2), (3), (4), (5) respectively on the sets S<sub>1</sub>, S<sub>2</sub>, S<sub>3</sub>, S<sub>4</sub>, S<sub>5</sub>;
- $I_{e}(A : C|B)_{\omega}$  possesses the above-stated properties 1-5 of conditional mutual information.

This function can be defined by one of the equivalent expressions  $I_{e}(A:C|B)_{\omega} = \sup_{P_{A}} \left[ I(A:BC)_{Q\omega Q} - I(A:B)_{Q\omega Q} \right], \ Q = P_{A} \otimes I_{B} \otimes I_{C},$ 

$$I_{\mathsf{e}}(A:C|B)_{\omega} = \sup_{P_C} \left[ I(AB:C)_{Q\omega Q} - I(B:C)_{Q\omega Q} \right], \ Q = I_A \otimes I_B \otimes P_C,$$

where the suprema are over all finite rank projectors  $P_X \in \mathfrak{B}(\mathcal{H}_X)$ .

For an arbitrary state  $\omega \in \mathfrak{S}(\mathcal{H}_{ABCD})$  the following property is valid:

$$I_{\mathsf{e}}(A:C|B)_{\omega} = \lim_{k \to \infty} \lim_{l \to \infty} I(A:C|B)_{\omega^{kl}},$$

where

$$\omega^{kl} = \lambda_{kl}^{-1} Q_{kl} \,\omega \, Q_{kl}, \quad Q_{kl} = P_A^k \otimes P_B^l \otimes P_C^k \otimes P_D^l, \, \lambda_{kl} = \mathrm{Tr} Q_{kl} \omega.$$

[Theorem 2, Corollary 9 in arxiv:1506.06377]

#### Short Markov chains and recovery maps

Th.1  $\Rightarrow \{ \omega_{ABC} | I(A:C|B)_{\omega} = 0 \}$  is a closed subset of  $\mathfrak{S}(\mathcal{H}_{ABC})$ .

If  $I(A:B)_{\omega}$  is finite then the existence of a channel  $\Phi: B \to BC$ such that  $\omega_{ABC} = \text{Id}_A \otimes \Phi(\omega_{AB})$  follows from Petz's theorem [P.Hayden, R.Jozsa, D.Petz, A.Winter, CMP 246:2, 359-374].

If  $I(A:B)_{\omega} = +\infty$  then the existence of a recovery channel is proved by using the compactness criterion:

A sequence  $\{\Phi_n\}$  of quantum operations  $A \to B$  is relatively compact in the strong convergence topology if there is a full rank state  $\rho_A$  such that the sequence  $\{\Phi_n(\rho_A)\}$  is relatively compact. [A.S.Holevo,M.E.Shirokov, arXiv:0711.2245]

Open question: geometric structure of short Markov chains.

#### On existence of the Fawzi-Renner recovery channel for all states

For any state  $\omega_{ABC}$  with finite marginal entropies there exists a recovery channel  $\Phi: B \to BC$  such that

$$2^{-\frac{1}{2}I(A:C|B)_{\omega}} \leq F(\omega_{ABC}, \operatorname{Id}_A \otimes \Phi(\omega_{AB}))$$

where  $F(\rho, \sigma) \doteq \|\sqrt{\rho}\sqrt{\sigma}\|_1$  is the quantum fidelity between states  $\rho$  and  $\sigma$ . [O.Fawzi, R.Renner arXiv:1410.0664, D.Sutter, O.Fawzi, R.Renner arXiv:1504.07251].

The above compactness criterion and the lower semicontinuity of  $I(A : C|B)_{\omega}$  make possible to show the existence of Fawzi-Renner channel  $\Phi$  such that  $[\Phi(\omega_B)]_B = \omega_B$  and  $[\Phi(\omega_B)]_C = \omega_C$ for arbitrary state  $\omega_{ABC}$  starting from the corresponding finitedimensional result in arXiv:1410.0664. [Prop.4 in arxiv:1506.06377]

# Corollaries of the lower semicontinuity of I(A:C|B)Corollary 1. Local continuity of one of the marginal entropies $H(\omega_A), H(\omega_C), H(\omega_{AB}), H(\omega_{AC})$ implies local continuity of $I(A:C|B)_{\omega}$ , i.e. $\lim_{n\to\infty} H(\omega_X^n) = H(\omega_X^0) < +\infty \Rightarrow \lim_{n\to\infty} I(A:C|B)_{\omega^n} = I(A:C|B)_{\omega^0}$ X = A, C, AB, BC, for any sequence $\omega_{ABC}^n \to \omega_{ABC}^0$ .

**Corollary 2.** Let  $\omega_{AB}^n \to \omega_{AB}^0$  and there exists  $\omega_{ABE}^n \to \omega_{ABE}^0$  s.t.  $\lim_{n \to \infty} H(\omega_{AE}^n) = H(\omega_{AE}^0) < +\infty \text{ then } \lim_{n \to \infty} I(A:B)_{\omega^n} \to I(A:B)_{\omega^0}$  **Corollary 3.** For any q. operations  $\Phi : A \to A'$  and  $\Psi : B \to B'$ the nonnegative function  $\omega_{AB} \mapsto \left[ I(A:B)_{\omega} - I(A':B')_{\Phi \otimes \Psi(\omega)} \right]$  is lower semicontinuous.

Local continuity of the function  $\omega_{AB} \mapsto I(A:B)_{\omega}$  implies local continuity of the function  $\omega_{AB} \mapsto I(A':B')_{\Phi \otimes \Psi(\omega)}$ .

**Example:** Let  $\{\omega_{AB}^n\}$  be a sequence of Gaussian states with bounded energy of A converging to a state  $\omega_{AB}^0$  then

$$\lim_{n \to \infty} I(A' : B')_{\Phi \otimes \Psi(\omega^n)} = I(A' : B')_{\Phi \otimes \Psi(\omega^0)}$$

for arbitrary quantum channels  $\Phi : A \to A'$  and  $\Psi : B \to B'$ .

The above results are valid for I(A:B|C) (instead of I(A:B)).

A sequence  $\{\{p_i^n, \rho_i^n\}\}_n$  converges to an ensemble  $\{p_i^0, \rho_i^0\}$  if  $\lim_{n \to \infty} p_i^n = p_i^0 \quad \forall i \quad \text{and} \quad \lim_{n \to \infty} \rho_i^n = \rho_i^0 \quad \forall i : p_i^0 \neq 0.$ 

The Holevo quantity

 $\chi(\{p_i, \rho_i\}) = I(A:B)_{\widehat{\omega}}, \text{ where } \widehat{\omega}_{AB} = \sum_i p_i \rho_i \otimes |i\rangle \langle i|.$ 

**Corollary 4.** For any channel  $\Phi : A \to A'$  the nonnegative function  $\{p_i, \rho_i\} \mapsto [\chi(\{p_i, \rho_i\}) - \chi(\{p_i, \Phi(\rho_i)\})]$  is lower semicontinuous on the set of all countable ensembles of states in  $\mathfrak{S}(\mathcal{H}_A)$ .

Local continuity of  $\chi(\{p_i, \rho_i\})$  implies local continuity of  $\chi(\{p_i, \Phi(\rho_i)\})$ :

 $\chi(\{p_i^n,\rho_i^n\}) \to \chi(\{p_i^0,\rho_i^0\}) < +\infty \quad \Rightarrow \quad \chi(\{p_i^n,\Phi(\rho_i^n)\}) \to \chi(\{p_i^0,\Phi(\rho_i^0)\})$ 

for any sequence  $\{\{p_i^n, \rho_i^n\}\}_n$  converging to an ensemble  $\{p_i^0, \rho_i^0\}$ .

# Different continuity bounds for I(A:C|B) and their use.

**Lemma 1.** Let  $V_A$  be an operator in  $\mathfrak{B}(\mathcal{H}_A)$  such that  $||V_A|| \leq 1$ and  $\omega_{ABC}$  be a state with finite  $H(\omega_A)$ . Then

 $0 \leq I(A:C|B)_{\omega} - I(A:C|B)_{\widetilde{\omega}} \leq 2\left[H(\omega_A) - H(V_A\omega_A V_A^*)\right],$ 

and hence

$$-2\delta H(V_A\omega_A V_A^*) \leq I(A:C|B)_{\omega} - I(A:C|B)_{\frac{\tilde{\omega}}{\operatorname{Tr}\tilde{\omega}}} \leq 2\left[H(\omega_A) - H(V_A\omega_A V_A^*)\right],$$
  
where  $\tilde{\omega}_{ABC} = V_A \otimes I_{BC} \omega_{ABC} V_A^* \otimes I_{BC}$  and  $\delta = \frac{1 - \operatorname{Tr}\tilde{\omega}}{\operatorname{Tr}\tilde{\omega}}.$ 

## [Lemma 9 in arXiv:1507.08964]

### Winter's type continuity bound for I(A:C|B).

Let  $H_A$  be the Hamiltonian of system A such that  $\text{Tr}e^{-\beta H_A} < +\infty$ for all  $\beta > 0$  and  $\gamma(E)$  is the Gibbs state for energy E.

Winter's technique [arXiv:1507.07775] + Lemma 1 =

**Proposition 1.** Let  $\rho_{ABC}$  and  $\sigma_{ABC}$  be states s.t.  $\operatorname{Tr} H_A \rho_A \leq E$ ,  $\operatorname{Tr} H_A \sigma_A \leq E$ ,  $\frac{1}{2} \| \rho - \sigma \|_1 \leq \varepsilon < \varepsilon' \leq 1$  and  $\delta = \frac{\varepsilon' - \varepsilon}{1 + \varepsilon'}$ . Then

 $|I(A:C|B)_{\rho} - I(A:C|B)_{\sigma}| \leq (2\varepsilon' + 4\delta)H(\gamma(E/\delta)) + 2g(\varepsilon') + 4h_2(\delta),$ 

where  $g(x) = (1+x)h_2(\frac{x}{1+x}) = (x+1)\log(x+1) - x\log x$ .

This continuity bound is asymptotically tight (for large E) even for trivial B, i.e. in the case I(A : C|B) = I(A : C). Since  $\lim_{\delta \to 0} \delta \gamma(E/\delta) = 0$ , it implies uniform continuity of I(A : C|B) on the set of states with bounded energy of A. Continuity bounds for  $E_{sq}$  and for  $E_F$  under energy constraints.

**Corollary 5.** Let  $\omega_{AB}^1$  and  $\omega_{AB}^2$  be states s.t.  $\operatorname{Tr} H_A \omega_A^k \leq E$ , k = 1, 2, and  $\|\omega_{AB}^2 - \omega_{AB}^1\|_1 = \varepsilon$  and Let  $\varepsilon' \in (\sqrt{\varepsilon}, 1]$  and  $\delta = \frac{\varepsilon' - \sqrt{\varepsilon}}{1 + \varepsilon'}$ . Then

$$\left|E_{sq}(\omega_{AB}^2) - E_{sq}(\omega_{AB}^1)\right| \le (\varepsilon' + 2\delta)H(\gamma(E/\delta)) + g(\varepsilon') + 2h_2(\delta)$$

The same continuity bound is valid for the entanglement of formation  $E_F$ .

Since  $\lim_{\delta \to 0} \delta \gamma(E/\delta) = 0$ , Corollary 5 implies asymptotic continuity of  $E_{sq}$  and  $E_F$  under the energy constrain on one subsystem (see details in [arXiv:1507.08964]).

## Special continuity bound for I(A:B|C).

By using the Alicki-Fannes-Winter technic one can obtain the following lemma (in which A, B and C are arbitrary systems).

**Lemma 2.** Let  $\rho_{ABC}$  and  $\sigma_{ABC}$  be states having extensions  $\hat{\rho}_{ABCE}$  and  $\hat{\sigma}_{ABCE}$  such that  $\hat{\rho}_{AE}$  and  $\hat{\sigma}_{AE}$  are finite rank states. Then  $I(A:B|C)_{\rho}$  and  $I(A:B|C)_{\sigma}$  are finite and

 $|I(A:B|C)_{\rho} - I(A:B|C)_{\sigma}| \le 2\varepsilon \log d + 2g(\varepsilon), \tag{6}$ 

where  $d \doteq \dim (\operatorname{supp} \hat{\rho}_{AE} \lor \operatorname{supp} \hat{\sigma}_{AE})$  and  $\varepsilon = \frac{1}{2} \|\hat{\rho} - \hat{\sigma}\|_1$ .

If  $\hat{\rho}$  and  $\hat{\sigma}$  are qc-states with respect to the decomposition (AE)(BC) then the factor 2 in (6) can be removed.

Lemma 2 makes possible to obtain estimates for variation of information characteristic of quantum channels depending on their input dimension.

The Bures distance:  $\beta(\Phi, \Psi) = \inf ||V_{\Phi} - V_{\Psi}||$ , where the infimum is over all common Stinespring representations:

$$\Phi(\rho) = \operatorname{Tr}_E V_{\Phi} \rho V_{\Phi}^*$$
 and  $\Psi(\rho) = \operatorname{Tr}_E V_{\Psi} \rho V_{\Psi}^*$ .

The Bures distance is equivalent to the diamond norm distance:

$$rac{1}{2} \| \Phi - \Psi \|_{\diamond} \leq eta(\Phi, \Psi) \leq \sqrt{\| \Phi - \Psi \|_{\diamond}},$$

[D.Kretschmann, D.Schlingemann, R.F.Werner, arXiv:0710.2495]

**Proposition 2.** Let  $\Phi : A \to B$  and  $\Psi : A \to B$  be arbitrary quantum channels and C be any system. Let  $\rho_{AC}$  and  $\sigma_{AC}$  be states with finite rank marginals  $\rho_A$  and  $\sigma_A$ . Then

 $|I(B:C)_{\Phi \otimes \mathrm{Id}_{C}(\rho)} - I(B:C)_{\Psi \otimes \mathrm{Id}_{C}(\sigma)}| \leq 2\varepsilon \log(2d_{A}) + 2g(\varepsilon), \quad (7)$ where  $d_{A} = \dim(\operatorname{supp} \rho_{A} \lor \operatorname{supp} \sigma_{A}), \quad \varepsilon = \frac{1}{2} \|\rho - \sigma\|_{1} + \beta(\Phi, \Psi).$ 

If  $\Phi = \Psi$  then the factor 2 in (7) can be removed.

If  $\rho_{AC}$  and  $\sigma_{AC}$  are qc-states then the first factor 2 in (7) can be removed.

Continuity bound (7) is tight in the both cases  $\Phi = \Psi$  and  $\rho = \sigma$ . The Bures distance  $\beta(\Phi, \Psi)$  in (7) can be replaced by  $\|\Phi - \Psi\|^{1/2}_{\diamond}$ .

**Corollary 6.** Let  $\Phi : A \to B$  and  $\Psi : A \to B$  be arbitrary quantum channels. Let  $\{p_i, \rho_i\}$  and  $\{q_i, \sigma_i\}$  be ensembles of states in  $\mathfrak{S}(\mathcal{H}_A)$  supported by  $d_A$ -dimensional subspace  $\mathcal{H}_A^0 \subseteq \mathcal{H}_A$ . Then

$$|\chi(\{p_i, \Phi(\rho_i)\}) - \chi(\{q_i, \Psi(\sigma_i)\})| \le \varepsilon \log(2d_A) + 2g(\varepsilon), \quad (8)$$

where  $\varepsilon = \frac{1}{2} \sum_{i} \|p_i \rho_i - q_i \sigma_i\|_1 + \beta(\Phi, \Psi), \ g(\varepsilon) = (1 + \varepsilon)h_2(\frac{\varepsilon}{1 + \varepsilon}).$ 

If  $\Phi = \Psi$  then the factor 2 in (8) can be removed.

Continuity bound (8) is tight in the both cases  $\Phi = \Psi$  and  $\{p_i, \rho_i\} = \{q_i, \sigma_i\}$ . The Bures distance  $\beta(\Phi, \Psi)$  in (8) can be replaced by  $\|\Phi - \Psi\|^{1/2}_{\diamond}$ .

The Leung-Smith telescopic trick [CMP,292,201-215], the chain rule for I(A:C|B) and lemma 2 imply the following

**Proposition 3.** Let  $\Phi : A \to B$  and  $\Psi : A \to B$  be arbitrary quantum channels, C be any system and  $n \in \mathbb{N}$ . Let  $\rho_{A_1...A_nC}$  be a state such that  $\rho_{A_1}, ..., \rho_{A_n}$  are finite rank states. Then

$$\left| I(B^{n}:C)_{\Phi^{\otimes n}\otimes \mathrm{Id}_{C}(\rho)} - I(B^{n}:C)_{\Psi^{\otimes n}\otimes \mathrm{Id}_{C}(\rho)} \right| \leq 2n(\varepsilon \log(2d_{A}) + g(\varepsilon)),$$
  
where  $\varepsilon = \beta(\Phi, \Psi)$  and  $d_{A} \doteq \left[\prod_{k=1}^{n} \mathrm{rank}\rho_{A_{k}}\right]^{1/n}.$ 

This continuity bound is tight (for each given n and large  $d_A$ ). The Bures distance  $\beta(\Phi, \Psi)$  in it can be replaced by  $\|\Phi - \Psi\|^{1/2}_{\diamond}$ .

If dim  $\mathcal{H}_A < +\infty$  then one can take  $d_A \doteq \dim \mathcal{H}_A$ .

**Theorem 2.** Let  $\Phi$  and  $\Psi$  be quantum channels from finitedimensional system A to arbitrary system B. Then

$$|\bar{C}(\Phi) - \bar{C}(\Psi)| \le \varepsilon \log d_A + \varepsilon \log 2 + 2g(\varepsilon), \tag{9}$$

$$|C(\Phi) - C(\Psi)| \le 2\varepsilon \log d_A + 2\varepsilon \log 2 + 2g(\varepsilon), \quad (10)$$

$$|C_{ea}(\Phi) - C_{ea}(\Psi)| \le 2\varepsilon \log d_A + 2g(\varepsilon), \tag{11}$$

$$|Q(\Phi) - Q(\Psi)| \le 2\varepsilon \log d_A + 2\varepsilon \log 2 + 2g(\varepsilon), \qquad (12)$$

$$|\bar{C}_{\mathsf{p}}(\Phi) - \bar{C}_{\mathsf{p}}(\Psi)| \le 2\varepsilon \log d_A + 2\varepsilon \log 2 + 4g(\varepsilon), \qquad (13)$$

$$|C_{\mathsf{p}}(\Phi) - C_{\mathsf{p}}(\Psi)| \le 4\varepsilon \log d_A + 4\varepsilon \log 2 + 4g(\varepsilon), \qquad (14)$$

where  $d_A \doteq \dim \mathcal{H}_A$ ,  $\varepsilon = \beta(\Phi, \Psi)$  and  $g(\varepsilon) = (1 + \varepsilon)h_2(\frac{\varepsilon}{1 + \varepsilon})$ .

The continuity bounds (9),(11),(12) and (13) are tight.

Thank you for your attention!